



CLEVELAND, OH | JUNE 4-6, 2018

Where Finishing Connects

Direct Copper Metalization of Aluminum: Elimination of Zincate

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Today's Discussion

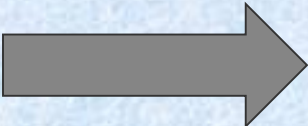
- Direct Copper Metalization skematic
- Reasons for Interest
- Direct Copper Metallization: claims and considerations
- Existing Process (POR) versus Alternate Process
- Electrolyte Process Sequence and Characteristics
- Deposit Layer Characteristics
 - Adhesion under stress
 - Silicon Content
- Obstacles to overcome
- Expanded Applications
- Summary and Conclusions
- Next Step / Way Forward

Direct Copper Metallization of Aluminum

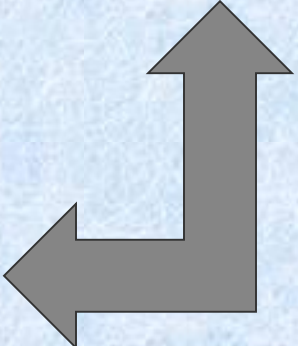
Aluminum



Copper plated Aluminum



Heat stressed copper plated Aluminum 260 C for 60 mins



SAC 305 Solder Test Temp 255 C Time 10 secs

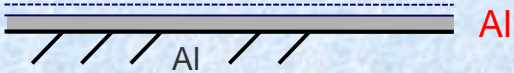
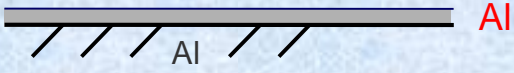
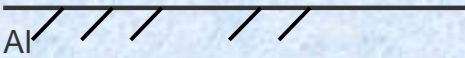
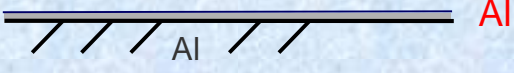

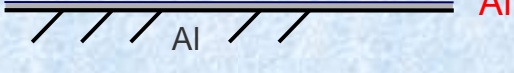
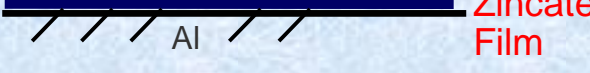

Direct Copper Metallization of Aluminum - high value ?

- There are **numerous potential applications** for lightweight Aluminum to be used as a replacement for **heavier and more costly metal structures**.
- **Applications** for expanded use of Aluminum in electronics include **bus bars, switch gears and terminal boards**. In these applications Aluminum would **replace copper**.
- Additionally, in the **Automotive industry**, although Aluminum is commonly used, its **use has been somewhat restricted** due to the **costly and complicated soldering techniques** required to attach Aluminum to other metal surfaces.
- If **Aluminum** could be provided with **a thin layer of well adhered copper**, the utility of Aluminum's inherent light weight and strong properties could be dramatically expanded.
- The **current Process of Record (POR)** to achieve adhesion utilizes a **zincate conversion layer** that is costly, unreliable and wears-away at the Aluminum surface - **causing undesirable dimensional variation**.

Direct Copper Metallization of Aluminum: **Major Claims**

- **Simplify and reduce the number of steps** required to plate copper on Aluminum substrates by eliminating the required Zincate conversion steps
- **Address the adhesion of the copper to low potential substrates** such as Aluminum, Steel and Stainless steel
 - Demonstrate that the copper adhesion to the aluminum substrate can be **successfully soldered with lead free solder without peeling or blistering.**
 - Demonstrate that an Alkaline CN Free copper can provide adhesion performance to **pass ASTM-B-571 spec for thermal baking 240 C for 60 minutes**
- The Improved process should **result is minimal or no removal Aluminum metal** in the pretreatment steps

Typical Electroless Zincate process Sequence

- 1- Material**

Al
- 2- Cleaning**

Al
- 3- Etching**

Al
- 4- Acid Dip**

Al
- 5- 1st Zincate**

Zincate Film
- 6- Zinc strip**

Al
- 7- 2nd Zincate**

Zincate Film
- 8- EL-Ni Plating**

Ni-P Film

Dirts oxide film on the surface.

Dirts on the surface are removed and increase surface wettabilities.

Oxide film is removed.

A thin oxide film is formed by acid dipping.

Aluminum is dissolved and zinc ions are deposited. A rough zinc film is formed.

Zinc films are dissolved and a thin oxide film is re-formed.

A uniform zinc film with enhanced adhesion is formed.

Most zinc is dissolved and the Ni-P film is deposited.

Alkaline Copper Direct Metallization process Sequence

- | | | | |
|-----------------------------------|--|--------------------|--|
| 1- Material | | Al | Dirts oxide film on the surface. |
| 2- Cleaning | | Al | Dirts on the surface are removed by cleaner /soak to increase surface wettabilities. |
| 3- Acid Dip | | Al | Oxide film is removed by 50% Nitric soak . |
| 4- Pyro
Cu
Plating | | Cu pyro
Deposit | Most zinc is dissolved and deposited the Ni-P film. |

Optional overplates

- | | | | |
|------------------------------|--|-----------|---|
| Sn
Plating | | Ni-P Film | Most zinc is dissolved and deposited the Ni-P film. |
| E'Ni - Sn
Plating | | Ni-P Film | Most zinc is dissolved and deposited the Ni-P film. |
| EL-Ni
Plating | | Ni-P Film | Most zinc is dissolved and deposited the Ni-P film. |

Alkaline Cyanide Free Copper Plating Process

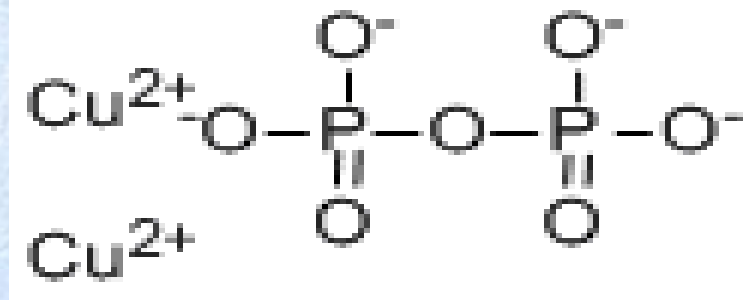
NC Copper Plating Bath Make up Components

<u>Operating Parameters</u>	<u>Optimum</u>	<u>Range</u>
1- Metal Salt	80 g/l	40 - 100 g/l
Copper as metal	28/g/l	20 - 34 g/l
2- Conductivity Salt	270 g/l	240- 300 g/l
3- Complexing Agent	50 ml/l	40 - 60 ml/l
4- Brightener	10 ml/l	8 – 15 ml/l
Temperature	149°F	140-158 °F
pH	7.5	7.0 – 8.5

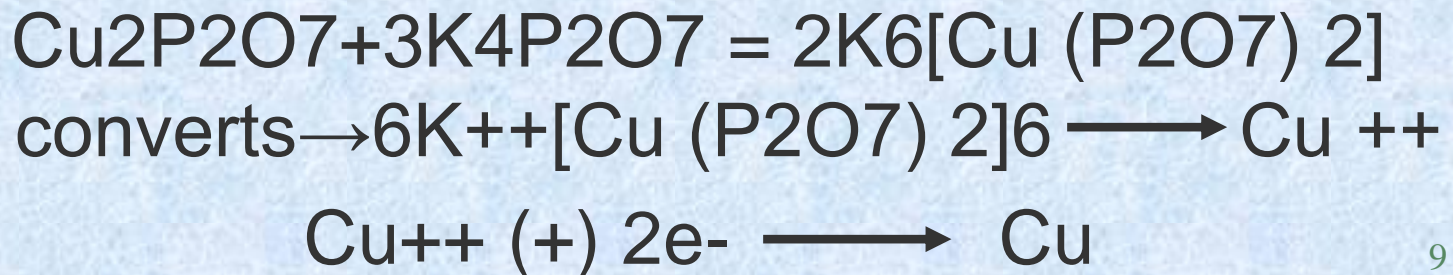
- Simple 4 component system
- Complexor allows near "Neutral pH operating range
- "EDTA Free" Biodegradable Complexor System
- Additives replenishment by Amp hours

Alkaline Cyanide Free Copper Plating

NC Electrolytic CN Copper Deposition Reaction

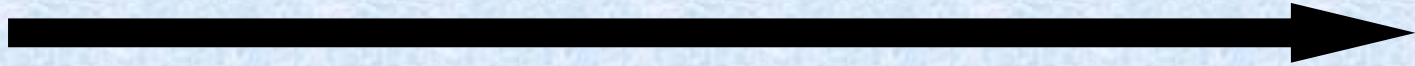


Copper pyrophosphate is the main raw material for the preparation of this copper plating bath. It reacts in the bath with potassium pyrophosphate, the complexing agent, to form pyrophosphate copper ligand ion:

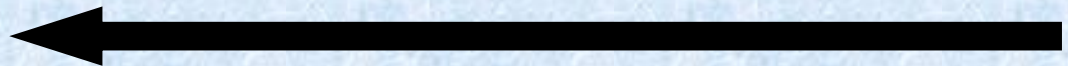


Alkaline Cyanide Free Copper Plating

NC Copper Plating Bath Process Flow



Alkaline Soak Cleaner	Rinse	Nitric Acid 50% pretreatment	Rinse	NC Copper plating bath	Rinse	Acid clean or etch
						Rinse
			Dry	Rinse	Tin Gold Nickel Chrome	Secondary plating



NC Alkaline Cyanide Free Copper Plating

Alkaline Soak Cleaner - Asahi C-4000

<u>Operating Parameters:</u>	<u>Optimum</u>	<u>Range</u>
Temperature:	50°C 122°F	38 - 60°C 110 - 140°F
Concentration:	10% b.v.	8 -12% b.v.
Immersion Time:	5 min.	3-8 mins.
Typical Bath life:	125 -300 sq.ft./gal.	

Generic Alkaline Aluminum micro-etchant

NC Alkaline Cyanide Free Copper Plating

Nitric Acid oxide removal step

<u>Operating Parameters:</u>	<u>Optimum</u>	<u>Range</u>
Temperature:	27 °C 80°F	24 - 35°C 75 - 95°F
Concentration:	50% b.v.	40-60 b.v.
Immersion Time:	5 min.	4 - 6 mins.
Typical Bath life:	2 weeks	or when Al @ 500ppm

Technical grade Nitric 50% oxide removal

Alkaline Cyanide Free Copper Plating

NC Copper Plating Bath

<u>Operating Parameters</u>	<u>Optimum</u>	<u>Range</u>
➤ Copper as metal	28/g/l	20 - 34 g/l
Temperature:	65 °C 149°F	60 -70 °C 140-158 °F
➤ pH	7.5	7.0 – 8.5
Current Density Rack Plating	20 ASF	10-40 ASF
Current Density Barrel Plating	5 ASF	10 ASF
Anode to Cathode ratio Rack	2:1	2:1
Anode to Cathode ratio Barrel	1:1	1:1
Filtration continuous	4.0 STO	~ 4.0 STO
➤ Electrolyte movement	air or eductor	air or eductor
Heater	STD SS	STD SS

Note: Current must be on before entering the plating bath

Alkaline Copper Pyrophosphate Copper Plating

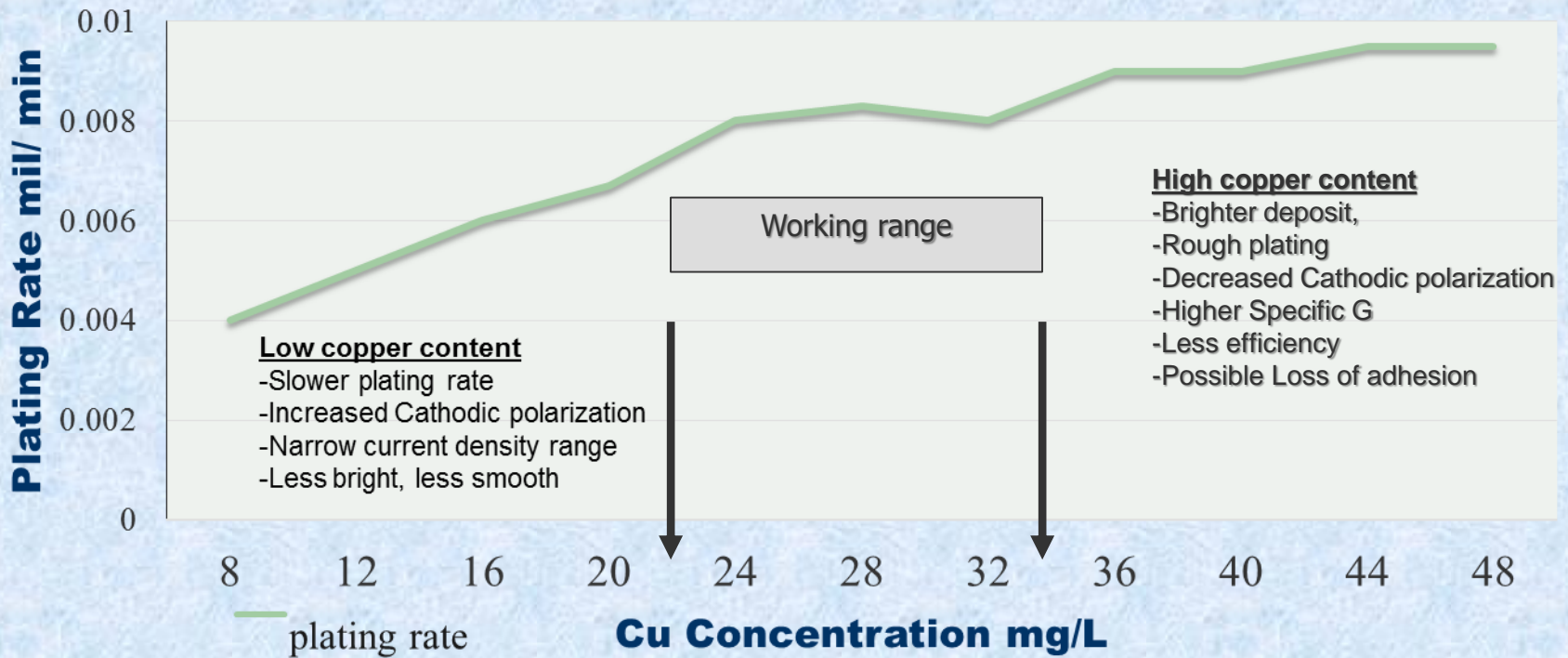
Electrolyte Operating Considerations

- **Copper content** in plating bath has a significant effect on the **cathodic polarization** and the current density operating range. The result will be low brightness and smoothness
- The **copper content** in plating bath must be **controlled at 20~34 g/L**
- **High copper content** will decrease the cathode polarization and result in a **rough plating coat**.
- **High copper and high build up of orthophosphate** reduces the conductive ability, **increases the competing immersion copper reaction** and of the plating bath and a potential for adhesion loss will increase.
- **Bath agitation** is very important for **ion replenishment** we have worked on specialty eductor design for this bath but air agitation has been successfully used

Requires tighter bath control than acid or cyanide copper electrolytes

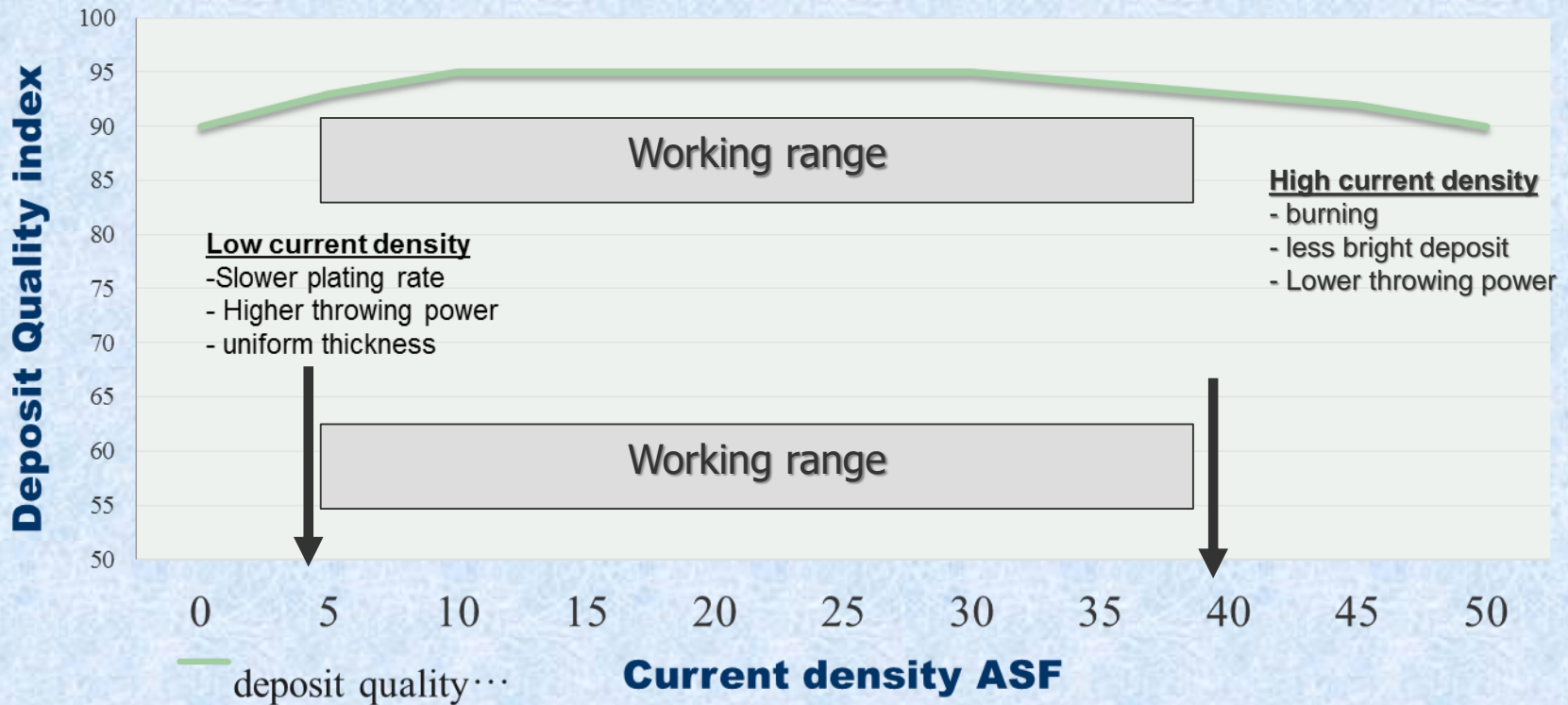
NC Alkaline Cyanide Free Copper Plating

NC Plating rate versus Copper Concentration



NC Alkaline Cyanide Free Copper Plating

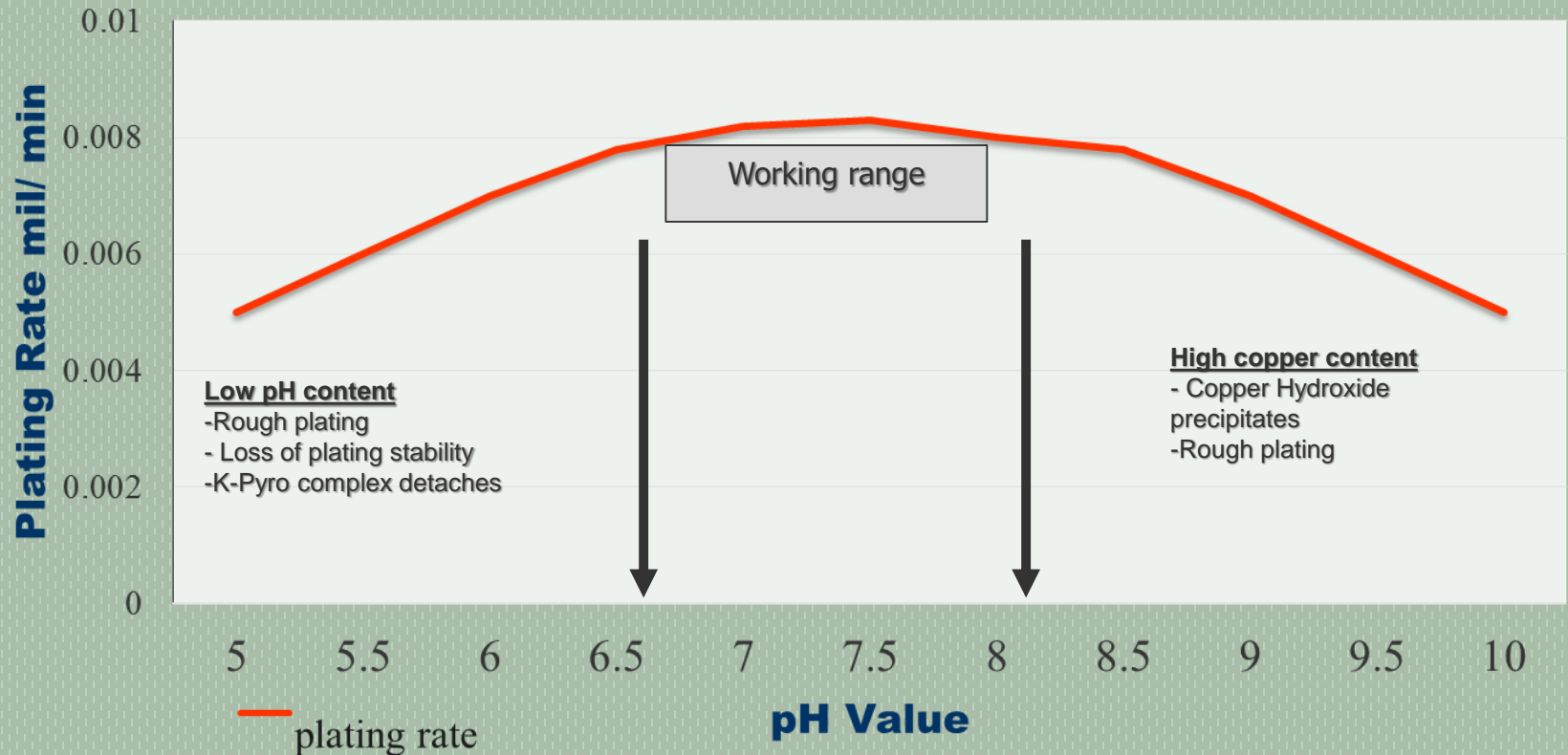
Current Density versus Deposit Quality Index



Operating current density as has a wide range, but Thickness control and uniformity is important

Alkaline Cyanide Free Copper Plating

NC Working Range Plating rate versus pH



Low pH precipitates the Complexor
High pH precipitates CuOH

Alkaline Copper Pyrophosphate Copper Plating

Properties compared to Cyanide and Acid copper

- Copper pyrophosphate baths are characterized by **high stability**
- **Meticulous crystalline coating**, and a **better coverage-ability** than that of acidic copper plating
- **Higher cathodic current efficiency** than cyanide copper plating. Excellent bath for plating thick copper.
- A thick coating can be obtained with **low embrittlement** due to no gas generated from the electroplating process.
- **Electrolyte is neutral pH**, non-toxic and not corrosive to equipment, making it especially suitable for circuit printing and zinc alloy die castings

NC Alkaline Cyanide Free Copper Plating

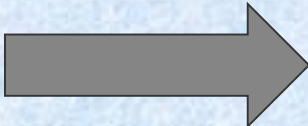
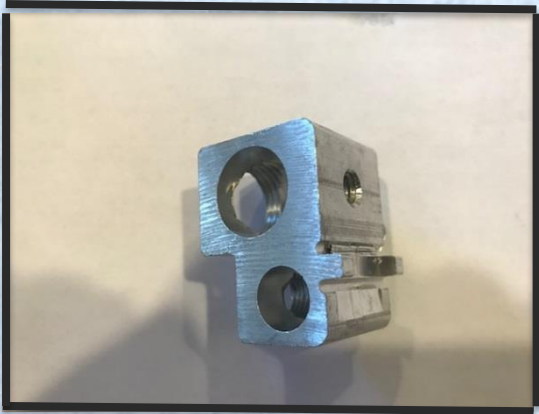
Electrolyte Tolerance to Impurities

2X - 4X Improvement

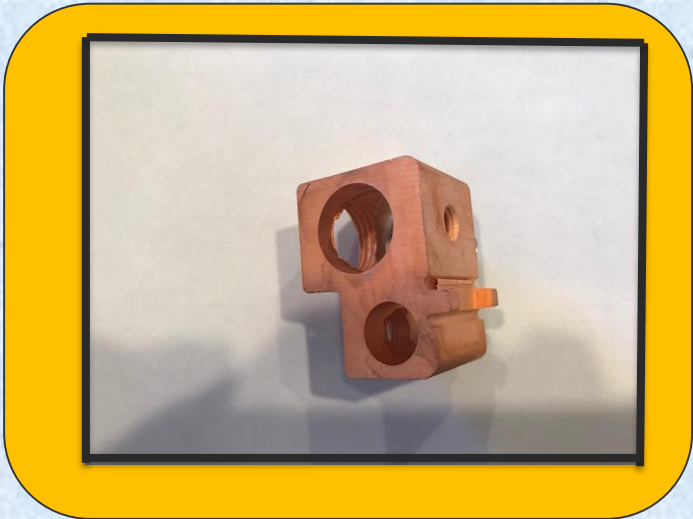
Electrolyte Type	Tolerance to Impurities
NC Cyanide Free Copper	Zn, Al, Fe to 500 mg/l
Bright Nickel	Zn und Cu up to 50 -100 mg/l
Electroless Nickel	Zn und Cu up to 25 - 50 mg/l

Direct Copper Metallization of Aluminum

Aluminum



Copper plated Aluminum



STRESS TEST Conditions #1



SAC 305 Solder Test
Temp 255 C Time 10
secs

Alkaline Cyanide Free Copper Plating

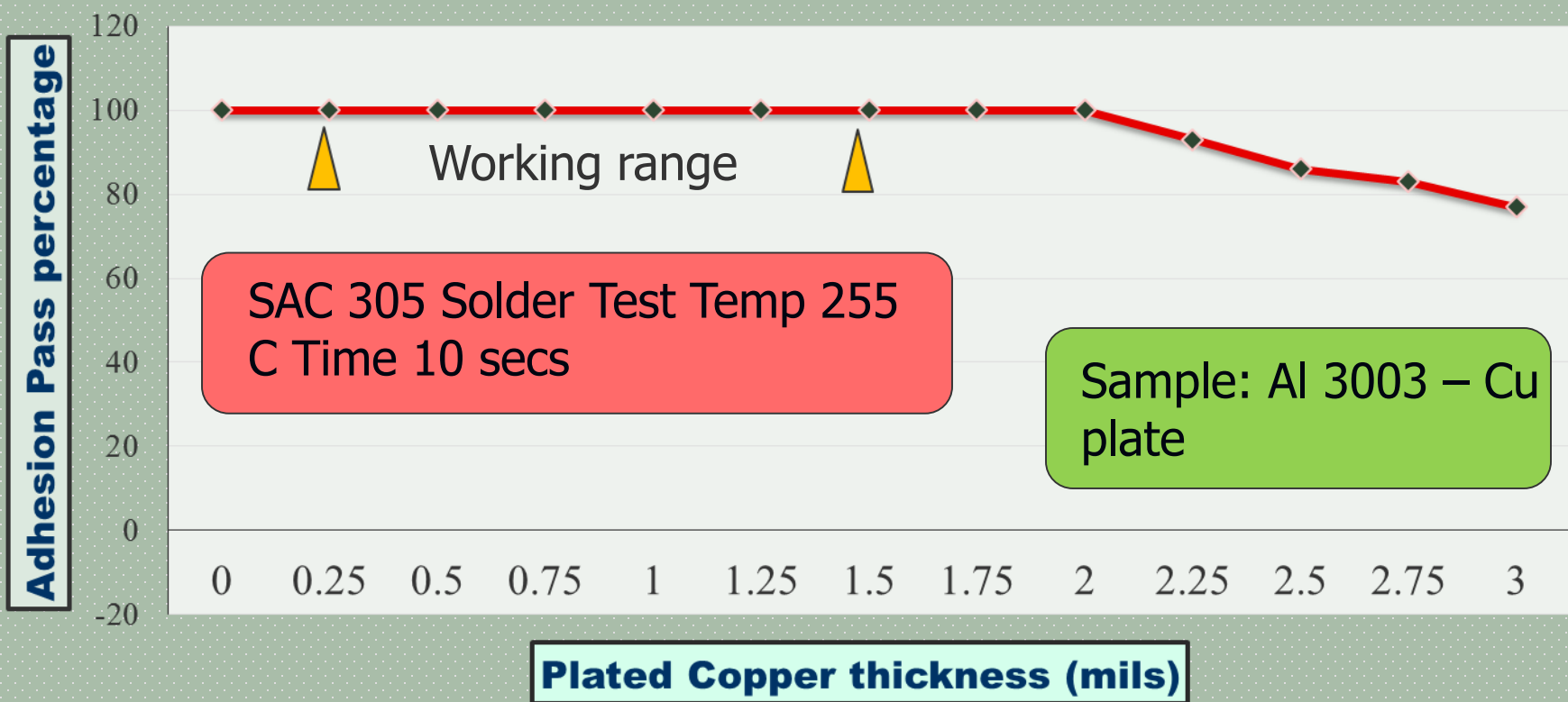
Stress Testing :

SAC 305 Solder Shock @ 255 C, 10 sec immersion

Sample #	Copper Plating Thickness	Result SAC 305 Solder Shock @ 255 C, 10 sec immersion
1	0.5 mils	No delamination
2	0.75 mils	No delamination
3	1.0 mils	No delamination
4	1.5 mils	No delamination
5	2.0 mils	No delamination
6	2.5 mils	~ 20 % Failure (AlCu interface separation)
7	3.0 mils	> 25 % Failure (AlCu interface separation)

NC Alkaline Cyanide Free Copper Plating

NC Plating Thickness versus Adhesion Pass Rate

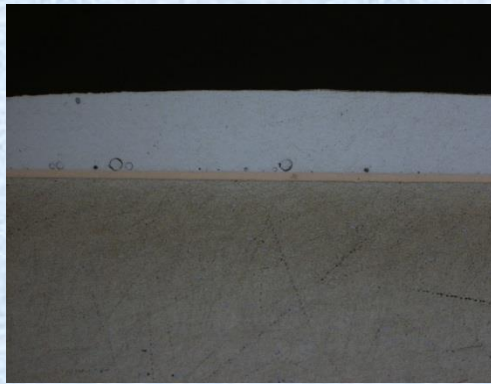


◆ Adhesion Pass Rate

NC Alkaline Cyanide Free Copper Plating

Stress Testing :

SAC 305 Solder Shock @ 255 C, 10 sec immersion



1- 0.5 mils Cu, No separation



2- 0.5 mils Cu, No separation



3- 1.0 mils Cu, No separation



4- 1.0 mils Cu +Ni, No separation

NC Alkaline Cyanide Free Copper Plating

Stress Testing :

SAC 305 Solder Shock @ 255 C, 10 sec immersion



1- 2.5 mils Cu, No separation



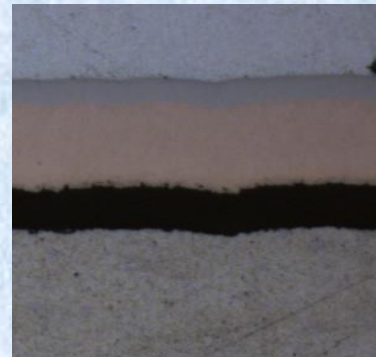
2- 2.5 mils Cu No, separation



3- 2.5 mils Cu +Ni, No separation



4- 2.5 mils Cu+ Ni delamination

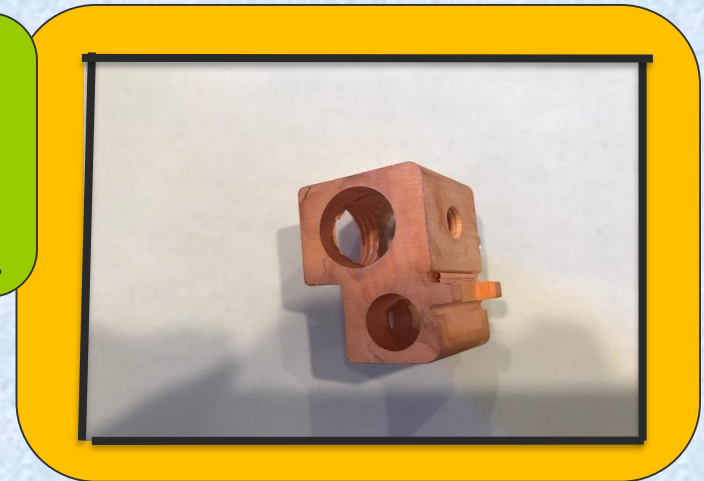
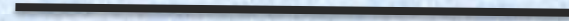


5- 2.5 mils Cu+Ni, Failure, separation

Conclusion: Solder Shock puts stress in the AlCu interface and higher Cu plating thicknesses increases failure rate

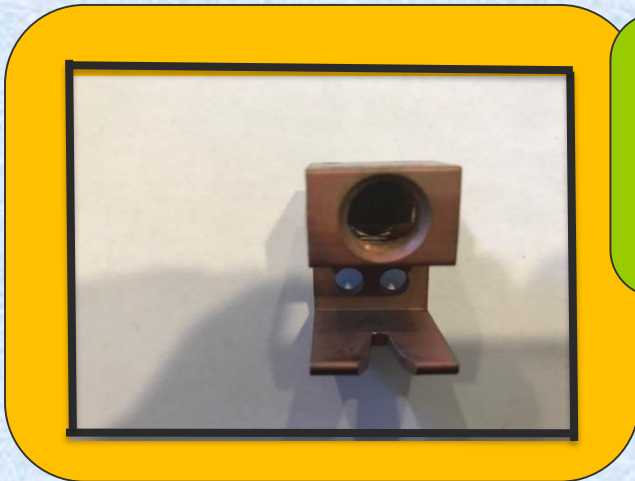
Direct Copper Metallization of Aluminum

Aluminum



Copper plated Aluminum

Heat stressed
copper plated
Aluminum 260 C for
60 mins ASTM-B-571



Baked Copper plated
Aluminum

NC Alkaline Cyanide Free Copper Plating

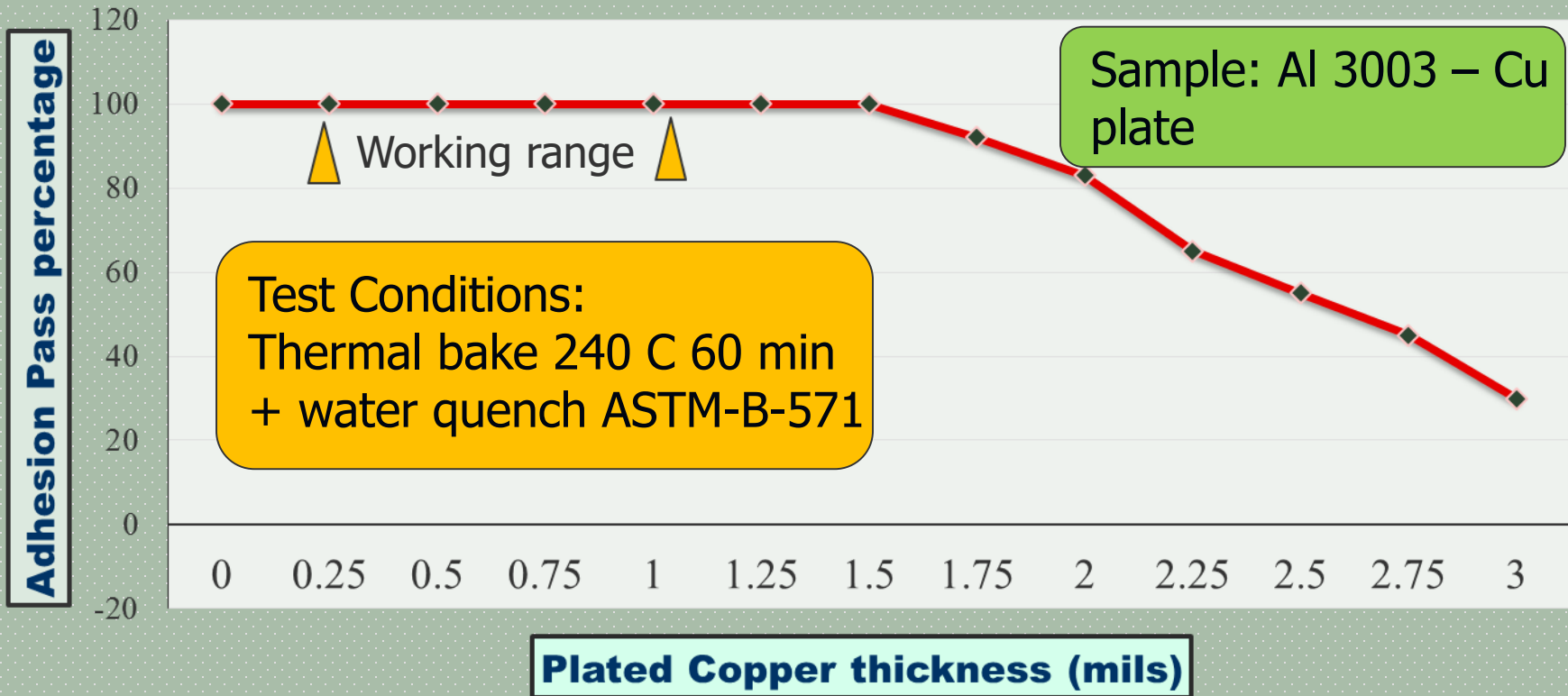
Stress Testing :

Thermal Bake 240C for 60 minutes + immediate water quench as per ASTM-B-571

Sample #	Copper Plating Thickness	Result Thermal Bake 240C for 60 minutes + immediate water quench as per ASTM-B-571
1	0.5 mils	No delamination
2	0.75 mils	No delamination
3	1.0 mils	No delamination
4	1.5 mils	No delamination
5	1.75 mils	~ 5 % Failure (AlCu interface separation)
6	2.0 mils	~ 10 % Failure (AlCu interface separation)
7	2.5 mils	~ 20 % Failure (AlCu interface separation)
8	3.0 mils	~ 25 % Failure (AlCu interface separation)

NC Alkaline Cyanide Free Copper Plating

NC Plating Thickness versus Adhesion Pass Rate

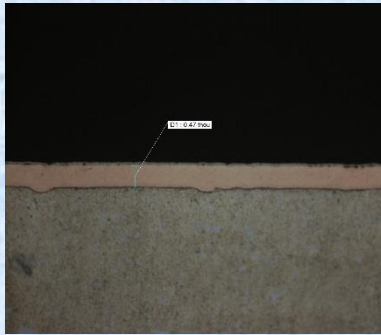


◆ Adhesion Pass Rate

NC Alkaline Cyanide Free Copper Plating

Stress Testing :

Thermal Bake and Quench 240C for 60 min ASTM



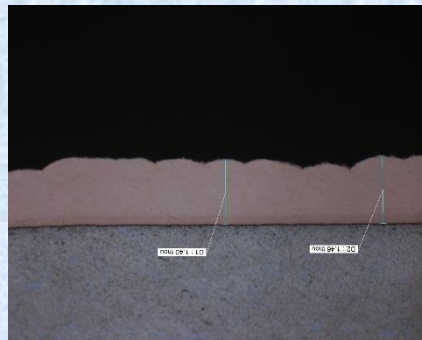
1- 0.5 mils Cu, No separation



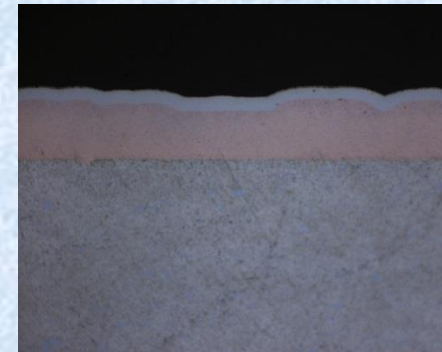
2- 0.5 mils Cu, No separation



3- 0.5 mils Cu +Ni, No separation



4- 1.05 mils Cu, Pass no delamination

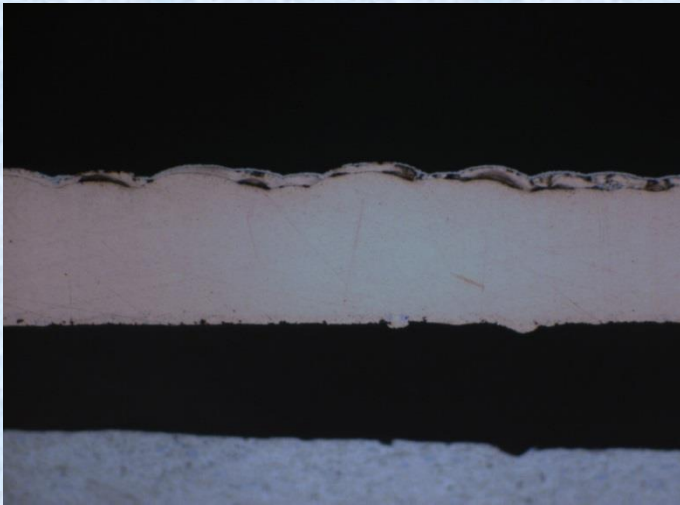


5- 1.3 mils Cu+Ni, Pass, no delamination

NC Alkaline Cyanide Free Copper Plating

Stress Testing :

Thermal Bake and Quench 240C for 60 min ASTM



4- 2.0 mils Cu, Failure, interface delamination

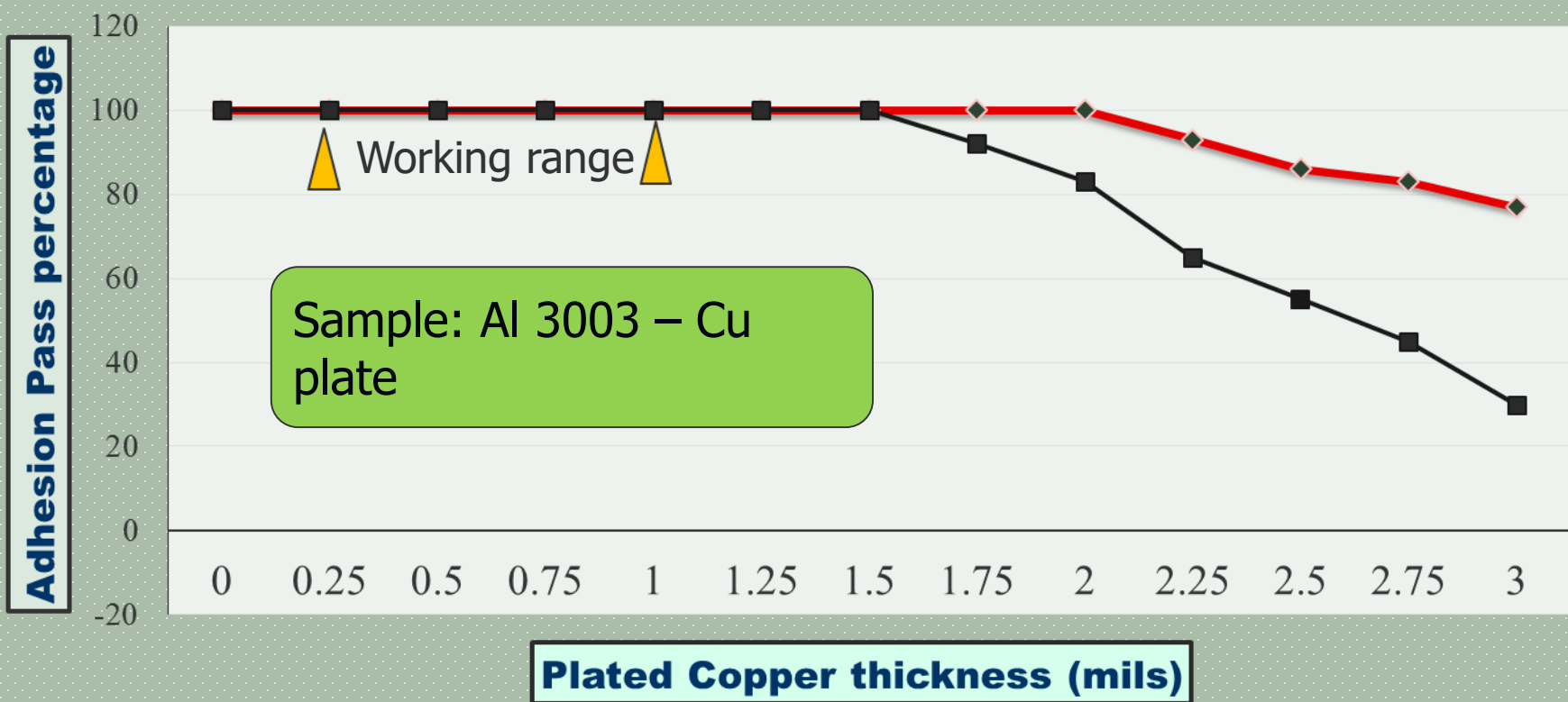


5- 2.0 mils Cu, Failure, no delamination

Conclusion: Thermal bake and quench stress is more severe than Solder shock and higher Cu plating thicknesses show increased failure rate

NC Alkaline Cyanide Free Copper Plating

NC Plating Thickness versus Adhesion Pass Rate

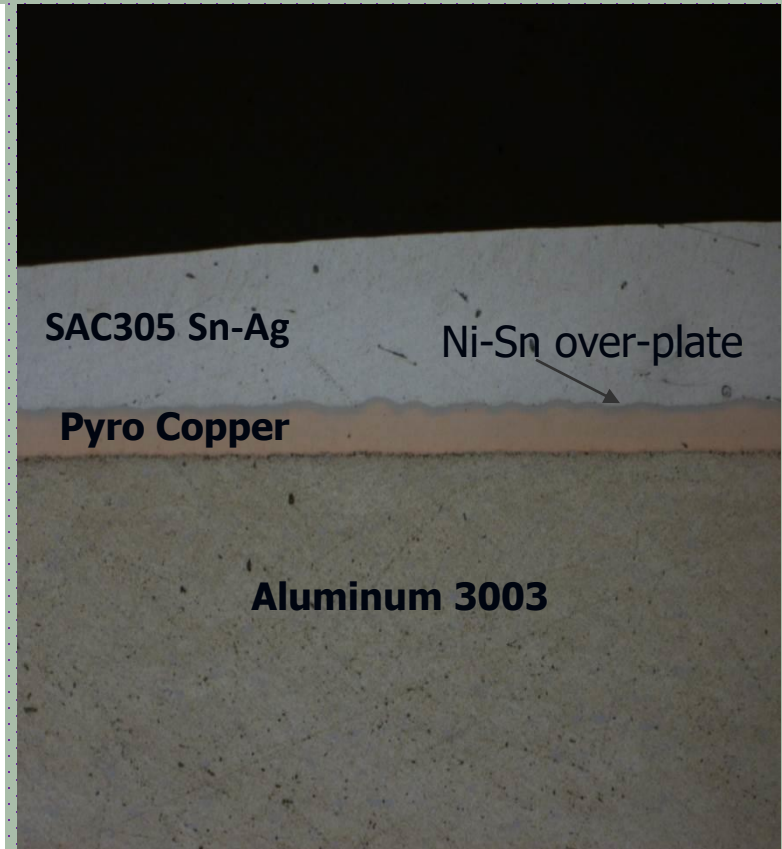
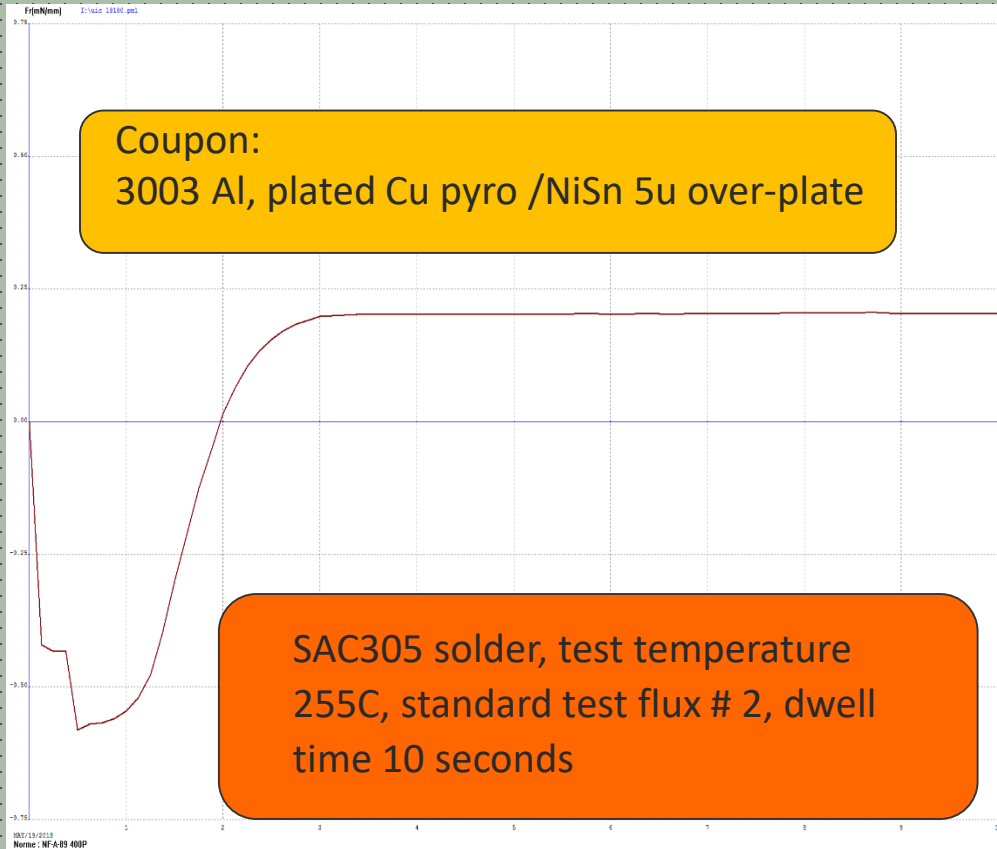


◆ SAC 305 Immersion 10 secs ■ Thermal bake 240 C, 60 min



NC Alkaline Cyanide Free Copper Plating

Wetting balance Testing - Solderability



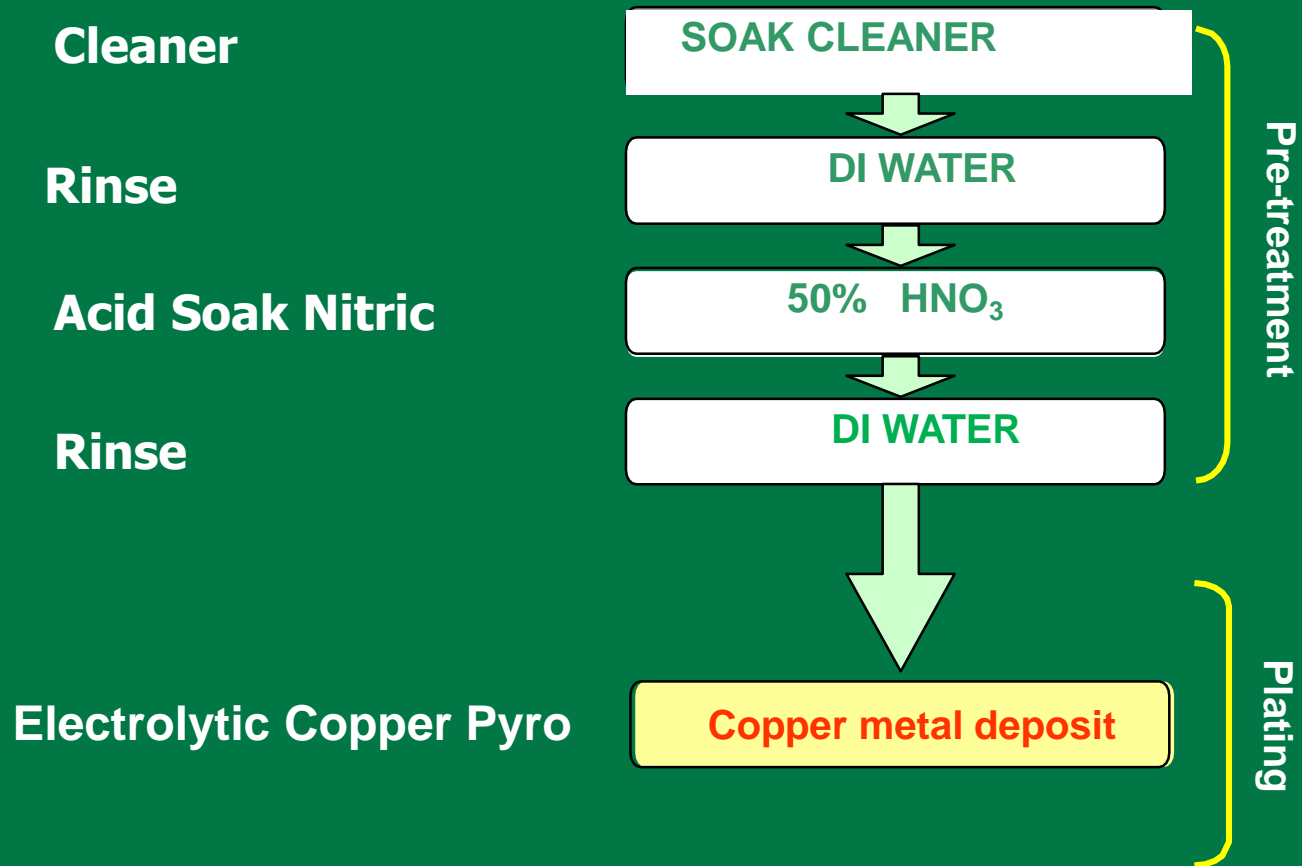
NC Alkaline Cyanide Free Copper Plating

Compatible Alloys tested passed with less than 1% silicon content

Aluminum Alloy	Silicon content	Results after plating test	Results after bake 240C 60 minutes
1008	0.40 %	Pass	Pass
2024	0.25 %	Pass	Pass
3003	0.6 %	Pass	Pass
4130	0.25 %	Pass	Pass
5052	0.25 %	Pass	Pass
6061	0.6 %	Pass	Pass
7050	0.12 %	Pass	Pass
4006	1.0 %	Pass	Fail 5 - 10% adhesion loss

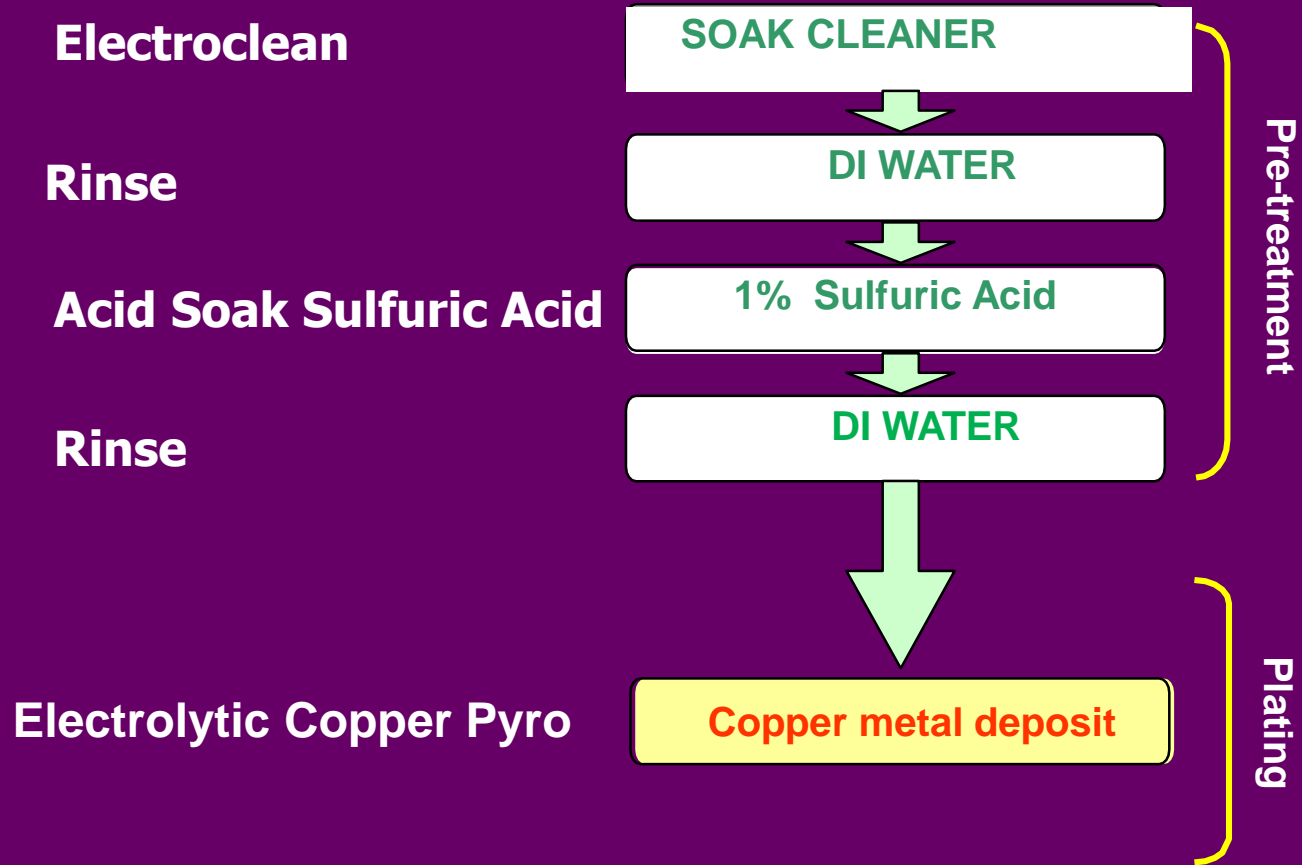
NC Alkaline Cyanide Free Copper

Pretreatment Step for Aluminum Alloys



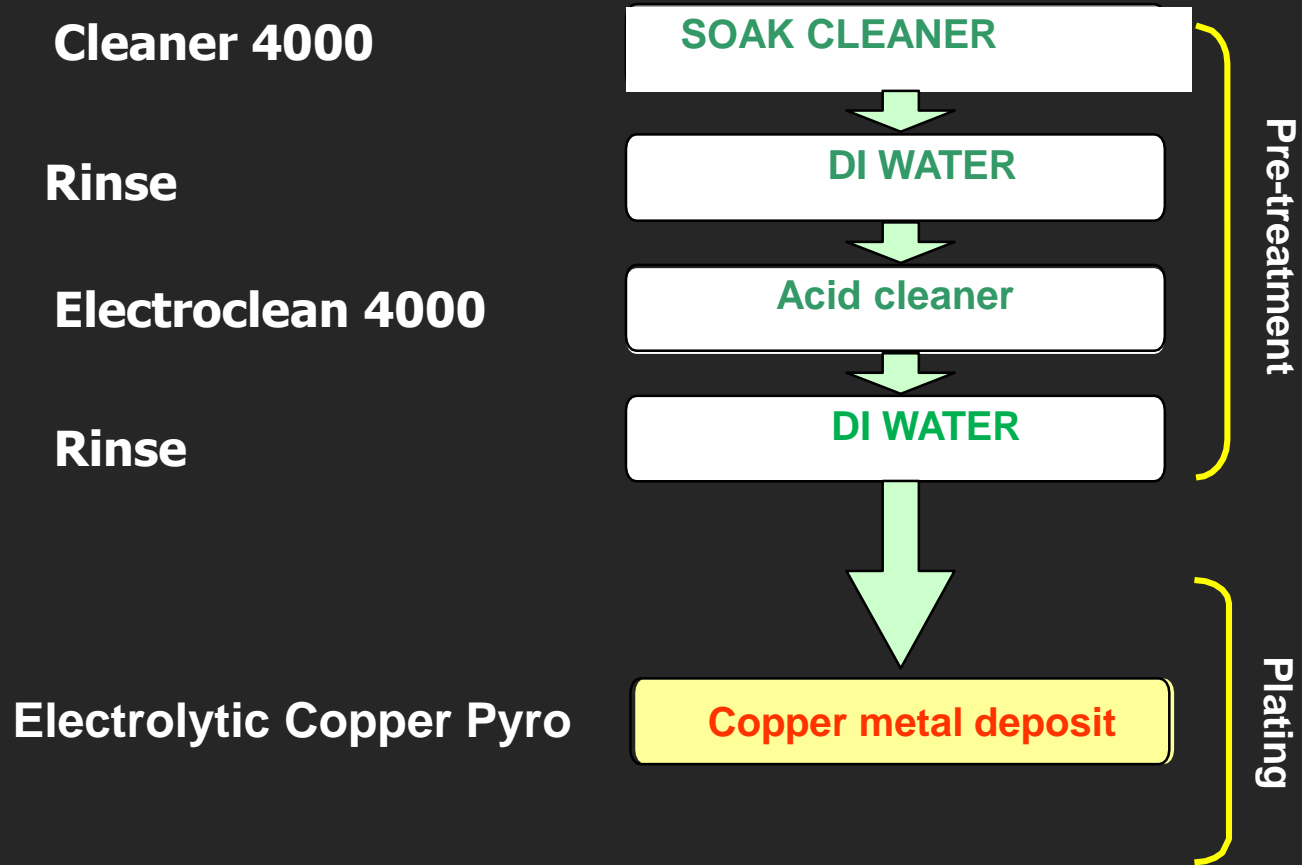
NC Alkaline Cyanide Free Copper

Pretreatment Step for Zinc Die Casting



NC Alkaline Cyanide Free Copper

Pretreatment Step for Stainless Steel



Alkaline Cyanide Free Copper Features / Conclusions

1- Successful Direct Metalization of Aluminum with a thin neutral cyanide free copper overplate can be achieved.

2- Zincate adhesion layers can be eliminated for a variety of common Aluminum Alloys

3- There is a direct and causal relationship between plated copper thickness and resulting adhesion. Copper deposits of 1.0 mils or less can be successfully soldered and survive thermal baking at 240 C for one hour without adhesion loss

4- Opportunities exist to expand the use of Aluminum to replace steel and copper in the **Aerospace and Automotive Industry**

5- The use of Neutral pH Cyanide Free Copper as a "super strike bath" seem apparent and should enhance common over-plates such as silver, palladium, bright Nickel.

Alkaline Cyanide Free Copper Way Forward

- 1- We plan to evaluate **combining a thin Copper-pyro strike deposit** with a **high elongation bright Acid copper** to enhance adhesion and manage expansion mismatch
- 2- We have begun to look at **specialty Aluminum micro-etches to increase mechanical anchor** and increase adhesion of Copper to Aluminum.
- 3- Continue work **with Copper pyro strike** on other **"low EMF potential" substrates** such as **stainless steel and titanium.**
- 4- Continue on-going work with **Ion Exchange manufacturers** to find the **most effective resin** options to remove weakly complexed copper from rinse water.

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Where Finishing Connects



Thank You

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Uyemura International Corporation Southington CT

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